
Government of India
National Commission for Scheduled Tribes
(A Constitutional Commission set up under Art. 303A
of the Constitution of India)

File No. RB/8/2015/MFIN9/SEHRMT/RU-IV

28.12.2015

To,

The Chairman cum Managing Director,
Union Bank of India,
Union Bank Bhawan,
239, Vidhan Bhavan Marg,
Nariman Point,
Mumbai - 400 021.

Sub: Representation of Shri Raja Beck, Munirka, New Delhi regarding disciplinary action and harassment.

Sir,

I am directed to enclose a copy of the Proceeding of the Sitting held in the NCST on 28.09.2015 on the above mentioned subject for necessary action and to send the action taken report to the Commission at an early date.

Yours faithfully,

Sd.
(N. Balasubramanian)
Research Officer

Copy to:-

Shri Raja Beck,
CB/10A, DDA Flats,
Phase-2, Munirka,
New Delhi.

Sd.
(N. Balasubramanian)
Research Officer

NATIONAL COMMISSION FOR SCHEDULED TRIBES

RB/8/2015/MFIN9/SEHRMT/RU-IV

Proceedings of the Sitting taken by the Hon'ble Vice-Chairperson, NCST on 28.09.15 in the case of Shri Raja Beck, Sr. Manager, Union Bank of India, New Delhi regarding disciplinary action and harassment.

Date of Sitting: 28.09.15


List of the officers present: (Annex- I)

A representation dated 22.4.15 had been received from Shri Raja Beck, Sr. Manager, Union Bank of India regarding disciplinary action and harassment taken against him for no fault of him. He alleged that the lapses observed on the part of Shri Beck more particularly affording

- 1) Fraudulent credit of huge amounts towards bills discounting and manipulating LCs to accommodate the party.
- 2) Complicity as well as doubtful integrity.
- 3) Violated bank's norms and rules but also unduly favoured the party which is likely to cause huge monetary loss to the Bank.
- 4) There were officers namely
 - (a) Smt. Abha Anand Srivastava, General Manager (since retired)
 - (b) Shri Anurag Chaturvedi, the then Assistant General Manager
 - (c) Smt. Malathi Vishwanathan, Chief Manager (since retired)
 - (d) Shri P.C. Sharma, Senior Manager (since retired)
 - (e) Shri S.P. Mathur, Assistant Manager. A disciplinary action is also initiated against them (3 of the officers has already retired).

2. The matter was taken up with the CMD, Union Bank of India on 28.05.15. Vide letter dated 6.6.15 Union Bank of India informed the facts of the case that disciplinary departmental inquiry was held and disciplinary action has also been initiated against Shri Raja Beck and 5 other erring officials in the matter.

(Annexure - II) .


रवि ठाकुर/RAVI THAKUR
उपाध्यक्ष/Vice Chairperson
राष्ट्रीय अनुसूचित जनजाति आयोग
National Commission for Scheduled Tribes
भारत सरकार/Govt. of India
नई दिल्ली/New Delhi

3. Shri Raja Beck was communicated reply dated 6.6.15. Shri Raja Beck further submitted rejoinder dated 07.07.15 refuting the facts brought out by Bank which was forwarded to Union Bank of India.

4. To further examine the case a Sitting was fixed by Hon'ble Vice-Chairperson on **28.09.2015**. Chairman cum Managing Director, Union Bank of India sought exemption and General Manager (HR) attended on behalf of CMD.

5. The petitioner Shri Raja Beck explained and submitted:

1. that he was Senior Manager (advances) in charge from April 2011 to March, 2012 and charges framed against him for recommending for bill discounting etc. doesn't pertain to him.

2. that there is a set procedure that Junior Manager and 3 officers will sign and recommend. Thereafter the papers are submitted to Regional Office for sanction of the bill and sent to General Manager. The procedure was fulfilled. But he has been punished and discriminated the other officers under the procedure have not been penalised.

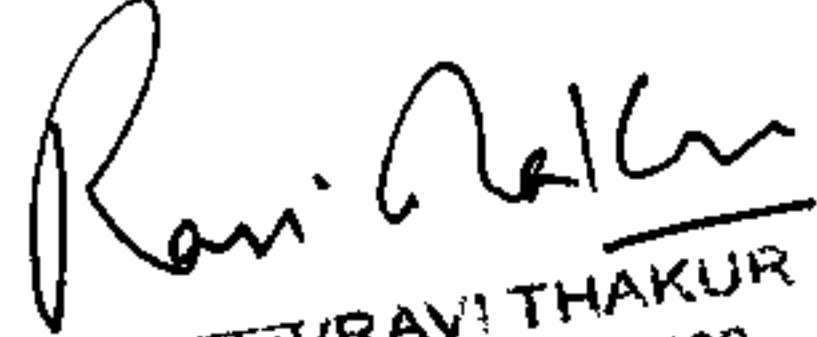
6. The Bank authorities informed that charges have been proved with irregularities of discounting bills and investigation was taken place at vigilance level. Vigilance investigation found irregularities in the account of M/s International Electron Devices Ltd. (IEDL) enjoying CC limit of ₹ 12.00 crores and LC limit of ₹ 5.00 crores with our SDA branch, Delhi since 2008 under consortium arrangement led by Punjab National Bank. The irregularities inter-alia related to allowing of huge/indiscriminate excesses, issuing LCs more than sanctioned limit without paying earlier bills, discounting bills without sanction/permission from Regional Office, company's default to various banks/FIs, receipt of United Bank of India's claim of ₹ 2.00 crores in respect of devolved LCs, etc. and enquiry proved that serious lapses were on the part of the petitioner regarding bills discounting and manipulating LCs to accommodate the parties are of serious nature and Bank is at a loss of ₹. 10 crores due to the recommendation of Shri Raja Beck.

7. The case was reported to the police. The Bank said action against the five Officers who were involved in the case was taken up.

8. The Bank authorities said enquiry has been completed and no discrimination was shown against Shri Raja Beck.

9. The Bank authorities also said that on completion of enquiry etc. the case has been sent to CVC for advice for action as per rules and to proceed on the advice of CVC.

10. The Commission asked to produce the copies of charge sheet penalty awarded to the petitioner and other officers who were charge sheeted in the case for further examination of the Commission.


रवि ठाकुर/RAVI THAKUR
उपाध्यक्ष/Vice Chairperson
राष्ट्रीय अनुसूचित जनजाति आयोग
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Annexure-1

RB/8/2015/MFIN9/SEHRMT/RU-IV

List of participants

NCST

1. Shri Ravi Thakur, Hon'ble Vice-Chairperson (In chair)
2. Mrs. K.D. Bhansor, Director
3. Shri N. Balasubramanian, Research Officer

Officers of Union Bank of India

1. Shri R.R. Mohanty, General Manager (HR)
2. Shri Kishore Khanchandani, Chief Manager (IR)

Petitioner

Shri Raja Beck